

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

SL-X IP S.A.R.L.,

Plaintiff,

v.

BANK OF AMERICA CORPORATION, *et al.*,

Defendants.

1:18-cv-10179 (RJS)

**RULE 7.1 DISCLOSURES**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Morgan Stanley, Morgan Stanley Capital Management, LLC, Morgan Stanley & Co. LLC, Morgan Stanley Distribution, Inc., Prime Dealer Services Corp. and Strategic Investments I, Inc., which have been named as defendants in the above-captioned matter, make the following disclosures through their undersigned counsel.

**LIST OF PARENT COMPANIES**

Defendant Morgan Stanley is a publicly held corporation that has no parent corporation.

Defendant Morgan Stanley Capital Management, LLC, is a limited liability company whose sole member is Defendant Morgan Stanley.

Defendant Morgan Stanley & Co. LLC is a limited liability company whose sole member is Morgan Stanley Domestic Holdings, Inc., a corporation wholly owned by Defendant Morgan Stanley Capital Management, LLC, a limited liability company whose sole member is Defendant Morgan Stanley.

Defendant Morgan Stanley Distribution, Inc. is a subsidiary corporation of Defendant Morgan Stanley.

Defendant Prime Dealer Services Corp. is a corporation wholly owned by Defendant Morgan Stanley & Co. LLC, a limited liability company whose sole member is Morgan Stanley Domestic Holdings, Inc., a corporation wholly owned by Defendant Morgan Stanley Capital Management, LLC, a limited liability company whose sole member is Defendant Morgan Stanley.

Defendant Strategic Investments I, Inc. is a corporation wholly owned by Defendant Morgan Stanley.

LIST OF PUBLICLY-HELD CORPORATIONS  
OWNING 10% OR MORE OF STOCK

Based on Securities and Exchange Commission Rules regarding beneficial ownership, Mitsubishi UFJ Financial Group, Inc. 7-1 Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8330, beneficially owns greater than 10% of Morgan Stanley's outstanding common stock.

Dated: November 20, 2018  
New York, New York

Respectfully Submitted,

/s/ Daniel Slifkin  
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Corp.; and Strategic Investments I, Inc.*